

12-04-06

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From-HUNTON WILLIAMS

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T-039 P.001

F-510

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| | | |
|----------------|---|---|
| TO | NAME FIRM FAX NO.: PHONE NO.: | Examiner D. Champagne/TC 3622 U.S. Patent and Trademark Office (571) 273-8300 (571) 272-6717 |
| | PAGES (INCLUDING COVER): | 21 |
| | ORIGINAL TO FOLLOW IN MAIL: | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| FROM | NAME: DIRECT DIAL: | Nancy J. Flint (305) 810-2522 |
| MESSAGE | In re Application Of: Steinberg Application No.: 09/921,060 Filed: August 2, 2001 Title: Method and System For Management of Purchasing Incentives | Examiner: D. Champagne Art Unit: 3622 Docket No.: 99997.023404 |

In accordance with 37 CFR 1.8, I hereby certify that the attached correspondence entitled:

1. Amendment and Response Office Action dated July 3, 2006
2. Fee Transmittal plus duplicate

is being facsimile transmitted to the United States Patent and Trademark Office on December 4, 2006.

Signature: Nancy J. Flint
 Typed or Printed Name of Person Signing Certificate: Nancy J. Flint
 Registration No., if applicable: 46,704

IF PROBLEM WITH TRANSMISSION, PLEASE CONTACT OPERATOR AT 202 • 955 • 1500.

OPERATOR

| | |
|---------------------|------------------|
| DATE: | December 4, 2006 |
| CLIENT/MATTER NAME: | 99997 |
| CLIENT/MATTER NO.: | 023404 |

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T-038 P.002 F-510

| FEE TRANSMITTAL For FY 2006 | | <i>Complete If Known</i> | | | | | |
|---|-----------------------|---|-----------------------|---------------------|-----------------------|-----------------------|----------------|
| | | Application No. | | 09/921,060 | | | |
| | | Filing Date | | August 2, 2001 | | | |
| | | First Named Inventor | | Steinberg | | | |
| | | Examiner Name | | D. Champagne | | | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | Art Unit | | 3622 | | | |
| Total Amount Of Payment (\$) | | 225.00 | | Attorney Docket No. | | 99997.023404 | |
| METHOD OF PAYMENT (check all that apply) | | | | | | | |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (identify): _____ | | | | | | | |
| <input checked="" type="checkbox"/> Deposit Account Deposit Account Number <u>50-0206</u> Deposit Account Name : Hunton & Williams LLP | | | | | | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | | | | | | | |
| <input checked="" type="checkbox"/> Charge fee(s) indicated below. <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee | | | | | | | |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments. | | | | | | | |
| FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) | | | | | | | |
| 1. BASIC FILING, SEARCH AND EXAMINATION FEES | | | | | | | |
| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | |
| | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee Paid (\$) | |
| Utility | 300.00 | 150.00 | 500.00 | 250.00 | 200.00 | 100.00 | |
| Design | 200.00 | 100.00 | 100.00 | 50.00 | 130.00 | 65.00 | |
| Plant | 200.00 | 100.00 | 300.00 | 150.00 | 160.00 | 80.00 | |
| Reissue | 300.00 | 150.00 | 500.00 | 250.00 | 600.00 | 300.00 | |
| Provisional | 200.00 | 100.00 | 0.00 | 0.00 | 0.00 | 0.00 | |
| 2. EXCESS CLAIMS FEES | | | | | | | |
| For | Number Present | Highest Number Paid For | | Extra | Fees (\$) | Small Entity Fee (\$) | Fees Paid (\$) |
| | | Paid For | Number Present | | | | |
| Total Claims | 14 | 20 | 0 x | 50.00 | 25.00 | 0.00 | |
| Independent Claims | 1 | 3 | 0 x | 200.00 | 100.00 | 0.00 | |
| Multiple Dependent Claim | | | | 360.00 | 180.00 | | |
| Total Excess Claims Fees | | | | | | | |
| 3. APPLICATION SIZE FEE (if the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | |
| Total Sheets | Extra Sheets | No. of Each Additional 50 or Fraction Thereof | | Fees (\$) | Small Entity Fee (\$) | Fees Paid (\$) | |
| | | (round up to a whole number) x | Fees (\$) | | | | |
| - 100 = | / 50 = | (round up to a whole number) x | 250.00 | 125.00 | 125.00 | | |
| 4. OTHER FEE(S) | | | | | | | |
| <input type="checkbox"/> Non-English Specification (no small entity discount) | | <input type="checkbox"/> Utility Issue Fee (or Reissue) (including Publication Fee, if necessary) <input type="checkbox"/> Design Issue Fee <input type="checkbox"/> Plant Issue Fee <input type="checkbox"/> Petition to Commissioner <input type="checkbox"/> Petition to Revive (Unavoidable) <input type="checkbox"/> Petition to Revive (Unintentional) <input type="checkbox"/> Petitions Related to Provisional Applications <input type="checkbox"/> Recording Each Patent Assignment Per Property <input type="checkbox"/> Other (specify) _____ | | | | | |
| <input checked="" type="checkbox"/> Two Month Extension of Time 225.00 | | | | | | | |
| <input type="checkbox"/> Submission of Information Disclosure Statement | | | | | | | |
| <input type="checkbox"/> Notice of Appeal | | | | | | | |
| <input type="checkbox"/> Request for Oral Hearing | | | | | | | |
| <input type="checkbox"/> Filing Brief in Support of Appeal | | | | | | | |
| <input type="checkbox"/> Filing Submission After Final Rejection | | | | | | | |
| SUBMITTED BY | | | | | | | |
| Signature | | | | Registration No. | 46,704 | Telephone | 305-810-2522 |
| Typed or Printed Name | | Nancy J. Flint | | Date | December 4, 2006 | | |

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T-039 P.004/021 F-510

PATENT

DEC 04 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 09/921,060 Confirmation No.: 6179
Applicant : Steinberg
Filed : August 2, 2001
Title : Method and System For Management of Purchasing Incentives
TC/Art Unit : 3622
Examiner: D. Champagne

Docket No. : 99997.023404
Customer No. : 21967

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE PURSUANT TO 37 C.F.R. § 1.111(a)

Sir:

Applicant hereby submits this Amendment and Response to the Office Action mailed on July 3, 2006 ("Office Action") and request that the application be reconsidered in light of the amendments and remarks made herein.

Amendments to the claims begin on page 2 of this Amendment and Response.

Remarks begin on page 6 of this Amendment and Response.

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